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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: HONDA

SERIAL NO.: 10/052,143

FILED: January 17, 2002

FOR: SEMICONDUCTOR DEVICE AND MANUFACTURING...

GROUP: 2827

DOCKET: NEC 00USFP553 DIV

The Commissioner of Patents & Trademarks
Washington, D.C. 20231

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-entitled matter, Applicant hereby attaches U.S. Patent Office form PTO-1449, including copies of the references not already of record in this matter. The references were cited in a Japanese Office Action dated August 26, 2002 or a Korean Office Action dated August 26, 2002. Enclosed are copies of the Japanese and Korean Office Actions, together with English translations of relevant portions thereof. Also enclosed is a copy of Form PTO-1449 from the parent application, serial no. 09/712,105. Copies of the listed references are not believed necessary, as they are located in the parent file. The claims in the present application are believed to be patentably distinguished over these references.

This information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No

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representation is made that the information thus disclosed in fact constitute prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 CFR § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designed in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an Office Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of foreign examiner or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted therewith.

The enclosed Information Disclosure Statement is being submitted within three months of receipt of the Japanese and Korean Office Actions, as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe there are no fees involved with this prior art disclosure statement.

However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

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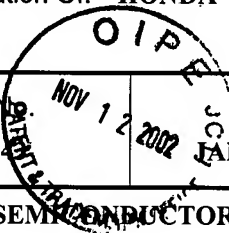
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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
NEC 00USFP553 DIV

In Re Application Of: **HONDA**

Serial No.
10/052,141



Filing Date
JANUARY 17, 2002

Examiner

Group Art Unit
2827

Invention: **SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THE SAME**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

**Norman P. Soloway
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Dated: **11/8/02**

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I certify that this document is being deposited on **11/8/02** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



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(Use several sheets if necessary)

NEC 00USFP55

10/052,143

HONDA

JANUARY 17, 2002

2827

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		11-111754	23.04.99	JAPAN (ABSTRACT)	H01L	21/60		✓
		10-340932	22.12.98	JAPAN (ABSTRACT)	H01L	21/60		✓
		03-094438	19.04.91	JAPAN (ABSTRACT)	H01L	21/60		✓
		10-256427	25.09.98	JAPAN (ABSTRACT)	H01L	23/12		✓
		11-146323	28.05.99	JAPAN (ABSTRACT)	H04N	5/765		✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

